



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/715,417 Confirmation No.: 5850  
Applicant : Eivind Per Thor STRATEN et al.  
Filed : November 19, 2003  
Title : SURVIVIN-DERIVED PEPTIDES AND USE THEREOF  
TC/Art Unit : 1646  
Examiner: : Unassigned  
  
Docket No. : 60820.000004  
Customer No. : 21967

REQUEST FOR CORRECTED FILING RECEIPT

ATTN: Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt mailed May 3, 2004 from the U.S. Patent and Trademark Office in the above-captioned application for which issuance of a corrected Filing Receipt is respectfully requested. The requested correction is indicated in red ink.

Specifically, the surnames of both inventors were inadvertently omitted, *i.e.*, Eivind Per Thor STRATEN and Mads Hald ANDERSEN, as indicated in the attached copy of the executed Declaration and Power of Attorney. Accordingly, it is respectfully requested that a corrected Filing Receipt be issued reflecting the requested correction.

It is believed that no fee is due in connection with this Request. However, if it is determined otherwise, the Commissioner is hereby authorized to charge such fees to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

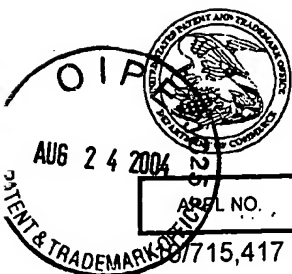
HUNTON & WILLIAMS LLP

Dated: August 24, 2004

By: 

Robert C. Lampe, III  
Registration No. 51,914

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Intellectual Property Department  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

SAIA/RCL  
 UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
07715,417	11/19/2003	1646	2324	60820.000004	16	49	1

CONFIRMATION NO. 5850

## UPDATED FILING RECEIPT



\*OC000000012511231\*

21967  
 HUNTON & WILLIAMS LLP  
 INTELLECTUAL PROPERTY DEPARTMENT  
 1900 K STREET, N.W.  
 SUITE 1200  
 WASHINGTON, DC 20006-1109

Date Mailed: 05/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

STRATEN  
 Eivind Per Thor, Hvidovre, DENMARK;  
 Mads Hald, Hellerup, DENMARK;

ANDERSEN

## Assignment For Published Patent Application

BioVision A/S;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/354,090 01/30/2003  
 which claims benefit of 60/352,284 01/30/2002

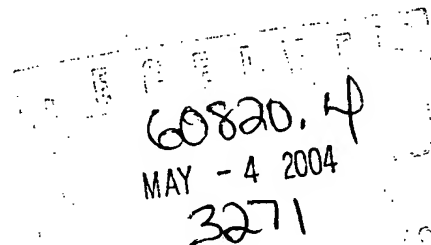
## Foreign Applications

If Required, Foreign Filing License Granted: 01/20/2004

Projected Publication Date: 08/12/2004

Non-Publication Request: No

Early Publication Request: No



DOCKETED

54-0438

**Title**

Survivin-derived peptides and use thereof

**Preliminary Class**

430

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Attorney Docket No.: 60820.000005

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name;

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### SURVIVIN-DERIVED PEPTIDES AND USE THEREOF

the specification of which: ☐ is attached hereto.

☒ was filed on: November 19, 2003

as United States Application No.: 10/715,417

or PCT International Application No.: \_\_\_\_\_

and was amended on: November 19, 2003 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

And I hereby authorize and request our agents, Hunton & Williams, whose address is set forth below, to insert above, if necessary, the filing date and application number of said application when known.

### Prior Foreign Application(s)

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

Application Number	Country	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed	
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>

#### Prior Provisional Application(s)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing (day, month, year)
60/352,284	1/30/02

#### Prior United States Application(s)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned
10/354,090	30 January 2003	Pending

And I hereby appoint, both jointly and severally, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the following Hunton & Williams attorneys and agents associated with **CUSTOMER NUMBER 21967** which includes: Stanislaus Aksman, Reg. No. 28,562; Jennifer A. Albert, Reg. No. 32,012; Thomas E. Anderson, Reg. No. 37,063; David E. Baker, Reg. No. 42,285; Carl L. Benson, Reg. No. 38,378; Thomas D. Bradshaw, Reg. No. 51,492; J. Robert Brown, Jr., Reg. No. 45,438; Brian M. Buroker, Reg. No. 39,125; Christopher C. Campbell, Reg. No. 37,291; Katherine S. Chang, Reg. No. 40,544; Robin C. Clark, Reg. No. 40,956; Trevor Q. Coddington, Reg. No. 46,633; Christopher J.



Cuneo, Reg. No. 42,450; Ryan S. Davidson, Reg. No. 51,596; Patrick A. Doody, Reg. No. 35,022; Kevin T. Duncan, Reg. No. 41,495; Ozzie A. Farres, Reg. No. 43,606; Nancy J. Flint, Reg. No. 46,704; Christopher J. Forstner, Reg. No. 46,049; Charles F. Hollis, III, Reg. No. 40,650; Nancy J. Jensen, Reg. No. 45,913; Herbert V. Kerner, Reg. No. 42,721; Robert C. Lampe, III, Reg. No. 51,914; Jonathan D. Link, Reg. No. 41,548; Tyler Maddry, Reg. No. 40,074; J. Michael Martinez, Reg. No. 37,178; David H. Milligan, Reg. No. 42,893; James R. Miner, Reg. No. 40,444; Bryan F. Moore, Reg. No. 52,044; Jeffrey T. Perez, Reg. No. 52,110; Michael P.F. Phelps, Reg. No. 48,654; John P. Pinkerton, Reg. No. 28,746; Laurence H. Posorske, Reg. No. 34,698; Andrew J. Ririe, Reg. No. 45,597; Stephen T. Schreiner, Reg. No. 43,097; Robert M. Schulman, Reg. No. 31,196; Thomas J. Scott, Jr., Reg. No. 27,836; Yisun Song, Reg. No. 44,487; Shelley L. Spalding, Reg. No. 51,971; Rodger L. Tate, Reg. No. 27,399; Samson Vermont, Reg. No. 42,202; Robert J. Ward, Reg. No. 28,746; and Scott F. Yarnell, Reg. No. 45,245.

All correspondence and telephone communications should be addressed to:

CUSTOMER NUMBER

**\*21967\***

**21967**

PATENT TRADEMARK OFFICE

corresponding to the law firm of Hunton & Williams; Intellectual Property Department; 1900 K Street, N.W.; Suite 1200; Washington, DC 20006-1109; telephone number (202) 955-1500; facsimile number (202) 778-2201.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Signature

*Eivind Per Thor*

Date

*120803*

Given Name

(first and middle (if any))

Eivind Per Thor

Family Name

or Surname

STRATEN

Citizenship:

Denmark

Residence:

Hvidovre, Denmark

Mailing Address:

Catherine Boothsvej 17, 2650 Hvidovre, Denmark

**NAME OF SECOND INVENTOR:**

☐

A petition has been filed for this unsigned inventor

Signature



Date

120803

Given Name

(first and middle (if any))

**Mads Hald**

Family Name  
or Surname

**ANDERSEN**

Citizenship:

**Denmark**

Residence:

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Mailing Address:

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